SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Wait Brett Levi | Requiring S (Month/Day | 2. Date of Event Requiring Statement (Month/Day/Year) 05/04/2021 3. Issuer Name and Ticker or Trading Symbol FIVE STAR BANCORP [FSBC] | | | | | |
|--|---------------------------|---|--|---|---|--|---|
| (Last) (First) (Middle) C/O FIVE STAR BANCORP 3100 ZINFANDEL DRIVE, SUITE 100 (Street) RANCHO CORDOVA CA 95670 (City) (State) (Zip) | | | 4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) SVP & C | 10% C Other below) |)wner (specify | A Person | /Year) int/Group Filing e Line) by One Reporting by More than One |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | |
| 1. Title of Security (Instr. 4) | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Own Form: I (D) or II (I) (Inst | Direct C ndirect | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Common Stock | | | 2,658 ⁽¹⁾ | D | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) Expiration Date (Month/Day/Year) | | ate | 3. Title and Amount of S Underlying Derivative S (Instr. 4) | | 4. Conversi or Exerci Price of | ise Form: | 6. Nature of Indirect Beneficial Ownership (Instr. |
| | Date Exercisable | Expiratior Date | Title | Amount or Number of Shares | Derivative or Ind | Direct (D) e or Indirect (I) (Instr. 5) | 5) |

Explanation of Responses:

1. Consists of 258 shares of unvested stock awards which are scheduled to vest on January 1, 2022, and 129 shares which are scheduled to vest on January 1, 2023, provided he remains employed by Five Star Bancorp on the respective vesting dates.



05/04/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned does hereby nominate, constitute and appoint Heather C. Luck, the undersigned's true and lawful attorney and agent to do any and all acts and things and execute and file any and all instruments which said attorney and agent may deem necessary or advisable to enable the undersigned (in the undersigned's individual capacity or in any other capacity) to comply with the Securities Exchange Act of 1934 (the "34 Act") and the Securities Act of 1933 (the "33 Act") and any requirements of the Securities and Exchange Commission (the "SEC") in respect thereof, in connection with the preparation, execution and/or filing of (i) any report or statement of beneficial ownership or changes in beneficial ownership of securities of Five Star Bancorp, a California corporation (the "Company"), that the undersigned (in the undersigned's individual capacity or in any other capacity) may be required to file pursuant to Section 16(a) of the 34 Act, including any report or statement on Form 3, Form 4 or Form 5, or to any amendment thereto, (ii) any report or notice required under Rule 144 of the 33 Act, including Form 144, or any amendment thereto, and (iii) any and all other documents or instruments that may be necessary or desirable in connection with or in furtherance of any of the foregoing, including Form ID, or any amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required pursuant to Section 16(a) of the 34 Act or any rule or regulation of the SEC, such power and authority to extend to any form or forms adopted by the SEC in lieu of or in addition to any of the foregoing and to include full power and authority to sign the undersigned's name in his or her individual capacity or otherwise, hereby ratifying and confirming all that said attorney and agent, shall do or cause to be done by virtue thereof.

This authorization shall supersede all prior authorizations to act for the undersigned with respect to securities of the Company in such matters, which prior authorizations are hereby revoked, and shall remain in effect until revoked by the undersigned in a signed writing delivered to the foregoing attorney in fact.

IN WITNESS WHEREOF, I have hereunto set my hand this 9th day of April, 2021.

<u>/s/ Brett Levi Wait</u> Brett Levi Wait